

1     **The applicability of a color acetate film for estimating photosynthetic photon flux**  
2                                     **density in a forest understory**

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4                                     Koji Kawamura, Michiko Cho, and Hiroshi Takeda

5  
6     Laboratory of Forest Ecology, Graduate School of Agriculture, Kyoto University,

7     Kyoto 606-8502, Japan

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9     Author for correspondence:

10    Koji Kawamura

11    Laboratory of Forest Ecology, Graduate School of Agriculture

12    Kyoto University, Kyoto 606-8502, Japan

13    Tel/Fax: +81-075-753-6080

14    e-mail: [koji@kais.kyoto-u.ac.jp](mailto:koji@kais.kyoto-u.ac.jp)

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1 **Abstract**

2       The applicability of a color acetate film (R-2D, Taisei Chemical Industries, Tokyo,  
3 Japan) for estimating photosynthetic photon flux density (PPFD) in a forest understory  
4 was examined. The fading ratio of the film ( $F$ ), the total PPFD ( $PPFD_{total}$ ) to which the  
5 film was exposed, and the average daily maximum temperature during exposure ( $T$ )  
6 were obtained from measurements at multiple sampling points throughout an entire year  
7 within a natural secondary forest ( $N = 42$ ). The ranges of the recorded values were as  
8 follows:  $F$  (35-99%),  $PPFD_{total}$  (1.4-28.3 mol m<sup>-2</sup>), and  $T$  (6-32°C).  $PPFD_{total}$  was  
9 regressed by  $F$  and  $T$  with a high  $r^2$  ( $= 0.94$ ;  $P < 0.0001$ ):  $PPFD_{total} = (100 - F) / (1.085$   
10  $+ 0.051 T)$ . The absolute error ( $|\text{estimated } PPFD_{total} - \text{measured } PPFD_{total}|$ ) averaged 1.3  
11 with a maximum of 5.7 (mol m<sup>-2</sup>), indicating a good fit. These results indicated the  
12 broad applicability of the film, both spatially and temporally, for estimating forest  
13 understory PPFD.

14

15 **Key words:** color acetate film, light measurement, PPFD, forest understory

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## 1 **Introduction**

2 Assessments of photosynthetic photon flux density (PPFD) provide important  
3 information about the growing conditions of forest understory plants. Direct  
4 measurements using quantum sensors can be effective, but these devices are expensive,  
5 cumbersome, and impractical for multiple-point sampling. Simple, indirect methods for  
6 accurately estimating PPFD in the forest understory are needed.

7 A color acetate film (R-2D, Taisei Chemical Industries, Tokyo, Japan) is useful.  
8 The film is impregnated with azo dyes and fades as the dyes are decomposed by  
9 exposure to sunlight (Yoshimura *et al.* 1989). Fukushima (1998) confirmed that the  
10 fading of the film was highly correlated with the integrated PPFD in a forest understory,  
11 although the study was limited to a single sampling point during one month of the year.

12 The spectral sensitivity of the color film is maximal in the green light band (521  
13 nm, Yoshimura *et al.* 1989), so it does not correspond with that of the quantum sensor  
14 (400-700 nm) exactly. As leaves can act as a spectral filter, the spectrum of light under  
15 the forest canopy varies with canopy structure and density (Muraoka *et al.* 2001).

16 Owing to differences in the spectral sensitivities of the film and the quantum sensor, the  
17 relationship between the fading of the film and the integrated PPFD measured using the  
18 sensor can vary even within a forest. Hence, if film is used at multiple points in a forest  
19 understory, we may need to establish a general relationship between the fading of the  
20 film and the integrated PPFD, including the possible variation across sampling points.

21 In addition, because the fading reaction of the film depends on temperature (Yoshimura  
22 *et al.* 1989; Akiyama *et al.* 2000; Fukushima 2000), we should correct for the effect of

1 temperature if film is used year-round. In this study, to confirm the broad applicability  
2 of film as a tool for estimating PPFD in forest understory, we examined the relation of  
3 fading of the film, temperature, and the integrated PPFD based on data obtained at  
4 multiple points throughout an entire year in a natural secondary forest.

5

## 6 **Materials & Methods**

7

### 8 *Study Site*

9 The study was conducted in a natural secondary forest at the Kamigamo  
10 Experimental Forest Station of Kyoto University (35°04'N, 135°46'E; altitude 150 masl),  
11 Japan. A 15 × 20-m plot was established in the forest. The density of overstory trees  
12 (with a diameter at breast height  $\geq$  5 cm) was 1,600 trees/ha; the mean dbh was 11.1 cm;  
13 and the mean height was 8.2 m. The dominant species were the evergreen trees *Ilex*  
14 *pedunculosa* Miq. and *Chamaecyparis obtusa* Endl., while there were some deciduous  
15 trees, such as *Quercus serrata* Thunb. ex Murray. The density of subcanopy and  
16 understory trees (with dbh < 5 cm and height > 0.5 m) was 10,433 trees/ha. Species of  
17 Ericaceae (*Rhododendron macrosepalum* Maxim. and *Lyonia ovalifolia* Drude var.  
18 *elliptica* Hand.-Mazz.) and Theaceae (*Eurya japonica* Thunb. and *Cleyera japonica*  
19 Thunb.) were dominant.

20

### 21 *Color acetate film*

22 Color acetate films impregnated with azo dyes (R-2D, Taisei Chemical Industries,

1 Tokyo, Japan) that fade as solar radiation is absorbed were used in the study. The  
2 optical transmittance ( $O$ ) of the film was determined using a portable photometer  
3 (THS470, Taisei Chemical Industries). The absorbance ( $D$ ) of the film was calculated  
4 from the transmittance,  $O$ , as follows:

$$5 \quad D = a + b (-\log (O / 100)) \quad \text{Eq. 1}$$

6 where  $a$  and  $b$  are meter-specific constants ( $a = -0.2683$ ,  $b = 1.7456$ ). From the  
7 absorbance of the film before use ( $D_0$ ) and after exposure ( $D$ ), the fading ratio ( $F$ ) was  
8 calculated using the following formula:

$$9 \quad F = 100 (D / D_0) \quad \text{Eq. 2}$$

10

### 11 *Field measurements*

12 Two films were put on the side of a quantum sensor (LI-190SA, Li-Cor, NE,  
13 USA), which was then placed at a sampling point in the understory of the established  
14 plot. The sensor was connected to a datalogger (LIT-DR 190SZ-7600, HOGA, Kyoto,  
15 Japan), and instantaneous PPFDs were recorded at 2-min intervals. The measurements  
16 were collected for 7 to 10 days, and subsequently the absorbances of the film after  
17 exposure ( $D$ ) were determined. These measurements were conducted repeatedly  
18 between March 2003 and March 2004 (1-4 points per month). The sampling points were  
19 arbitrarily located at 1-3 m above the ground within the plot (15 × 20 m), e.g., under  
20 canopy-gaps, beneath closed-canopy, or within tree crowns, to include a wide range of  
21 light quality environment. A total of 42 points were sampled.

22

1 *Data analysis*

2 The fading ratio ( $F$ ) was calculated for each film using the absorbance of the film  
3 before use ( $D_0$ ) and after exposure ( $D$ ) (Eqs. 1-2). The total PPFD ( $PPFD_{total}$ , mol m<sup>-2</sup>)  
4 during the exposure period was calculated as the integral of the instantaneous PPFD  
5 recorded by the sensor.

6 We assumed the following relationship between  $F$  and  $PPFD_{total}$ :

7 
$$F = -K (PPFD_{total}) + 100 \quad \text{Eq. 3}$$

8 where  $K$  is a reaction rate constant. The constant  $K$  changes with temperature  
9 (Yoshimura *et al.* 1989), since the fading rate of each dye is dependent on temperature.

10 To characterize the change of  $K$  with temperature, we assumed the following  
11 relationship:

12 
$$K = v + w T \quad \text{Eq. 4}$$

13 where  $v$  and  $w$  are constants, and  $T$  is the average daily maximum temperature during  
14 the measurement period. Daily records of maximum temperature were obtained from  
15 the Kamigamo Experimental Forest Station.

16 Note that according to a preliminary analysis of our data, the linear functions  
17 (Eqs. 3 and 4) had higher coefficients of determination than previously used non-linear  
18 functions, such as exponential (Yoshimura *et al.* 1989; Fukushima 2000) or  
19 second-order polynomial (Akiyama *et al.* 2000) functions. The choice of function to  
20 characterize the relationship of  $PPFD_{total}$  to  $F$  and  $T$  should be examined in each study.

21 From Eqs. 3 and 4, the following regression of  $PPFD_{total}$  on  $F$  and  $T$  was  
22 calculated:

$$PPFD_{total} = (100 - F) / (v + w T) \quad \text{Eq. 5}$$

Parameters  $v$  and  $w$ , and the coefficient of determination,  $r^2$ , were estimated using the Quasi-Newton method with nonlinear least squares (SYSTAT 1992). The estimated  $PPFD_{total}$  was then calculated using Eq. 5, the estimated values of  $v$  and  $w$ , and the measured values of  $F$  and  $T$ , for each measurement. The relationship of the estimated  $PPFD_{total}$  to the  $PPFD_{total}$  measured directly using the quantum sensor was examined to validate the applicability of films for estimating  $PPFD_{total}$  in a forest understory.

## Results

For the 42 measurements, the ranges of the recorded values were as follows:  $F$  (35-99%),  $PPFD_{total}$  (1.4-28.3 mol m<sup>-2</sup>), and  $T$  (6-32°C). The difference in  $F$  between the two films in each measurement averaged 2.1% (SD = 2.9). The daily  $PPFD_{total}$  on days with clear skies averaged 1.7 mol m<sup>-2</sup> (range: 0.2-4.8).

Figure 1 plots  $F$  against  $PPFD_{total}$ . The data are categorized into two classes of  $T$  and the data for these two classes segregated. At a given  $PPFD_{total}$ ,  $F$  was smaller at high temperature class, indicating that high temperatures accelerated the rate of film fading. When  $PPFD_{total}$  was regressed by  $(100 - F)/K$ , and the effect of temperature was not included (i.e.,  $K$  is constant), the coefficient of determination ( $r^2$ ) was 0.84. The error of estimation (= the estimated  $PPFD_{total}$  – the measured  $PPFD_{total}$ ) was significantly positively correlated with  $T$  (Error = 0.29  $T$  - 6.75;  $r = 0.74$ ;  $P < 0.0001$ ).

Using Eq. 5, we regressed  $PPFD_{total}$  on  $F$  including the effects of  $T$  as follows:

$$PPFD_{total} = (100 - F) / (1.085 + 0.051 T) \quad \text{Eq. 6}$$

1 The regression was statistically significant ( $P < 0.0001$ ,  $N = 84$ ), with a high  $r^2$  ( $= 0.94$ ).  
2 Fig. 2 shows the relationship between the estimated and measured  $PPFD_{total}$ . Since Eq.6  
3 includes the effect of  $T$ , the data in Fig. 2 are not segregated for the two classes of  $T$ .  
4 The errors of estimation were not significantly correlated with  $T$  ( $r = 0.01$ ;  $P = 0.91$ ),  
5 indicating a good correction for the effect of temperature. The errors of estimation were  
6 also not significantly correlated with  $PPFD_{total}$  ( $r = -0.21$ ;  $P = 0.06$ ), and the absolute  
7 value of the errors averaged  $1.3 \text{ mol m}^{-2}$  and ranged from  $0.0$  to  $5.7 \text{ mol m}^{-2}$ , indicating  
8 a good fit.

## 10 Discussion

11 In this paper, we examined the applicability of color acetate film for evaluating  
12 the forest understory light environment. A good relationship between  $PPFD_{total}$  and  $F$   
13 and  $T$  was obtained from multiple-point sampling throughout the year. The relationship  
14 in Eq. 6 corrects for the effects of temperature and includes possible variation in the  
15 relationship between  $PPFD_{total}$  and  $F$  across sampling points that might differ in light  
16 quality. Therefore, the method assured the broad applicability of the film, for evaluating  
17 the forest light environment, with a high accuracy of estimation. Since the regression  
18 constants will change, calibration is required for each forest.

19 Fukushima (1998) reported that the fading ratio of film changed irregularly  
20 until the integrated PPFD exceeded  $5 \text{ mol m}^{-2}$ . In our study, however, for low values of  
21  $PPFD_{total}$  ( $1.4\text{-}5.0 \text{ mol m}^{-2}$ ),  $F$  was strongly correlated with  $PPFD_{total}$  ( $r = -0.75$ ;  $P <$   
22  $0.001$ ;  $N = 28$ ). Therefore, there was no evidence to suggest an increased error of

1 estimation in low-light environments.

2           The average of the absolute errors of estimation ( $1.3 \text{ mol m}^{-2}$ ) could limit the  
3 ability of the film to distinguish differences in a narrow range of light environments  
4 accurately. However, since  $PPFD_{total}$  in the understory varied up to 20-fold from 1.4 to  
5  $28.3 \text{ (mol m}^{-2}\text{)}$ , the film method is well suited to ranking understory light conditions  
6 across a large number of sites. Therefore, the accuracy and low cost of color acetate  
7 film make it a good option for estimating the integrated PPFD in a forest understory.

8

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14

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## Figure Captions

**Figure 1.** The relationship between the fading ratio ( $F$ ) of color acetate film and the  $PPFD_{total}$  measured using a quantum sensor. Data were categorized into two classes of average daily maximum temperature ( $T$ ): Open dots, 6-22°C ( $N = 36$ ); filled dots, 22-32°C ( $N = 48$ ). The boundary (22°C) was defined as the average  $T$  of all the data points.

**Figure 2.** The relationship of the  $PPFD_{total}$  estimated using film ( $Y$ ) to the  $PPFD_{total}$  measured using a quantum sensor ( $X$ ). Data were categorized into two classes of  $T$ : Open dots, 6-22°C ( $N = 36$ ); filled dots, 22-32°C ( $N = 48$ ). The line  $Y=X$  is shown for comparison.

Figure 1

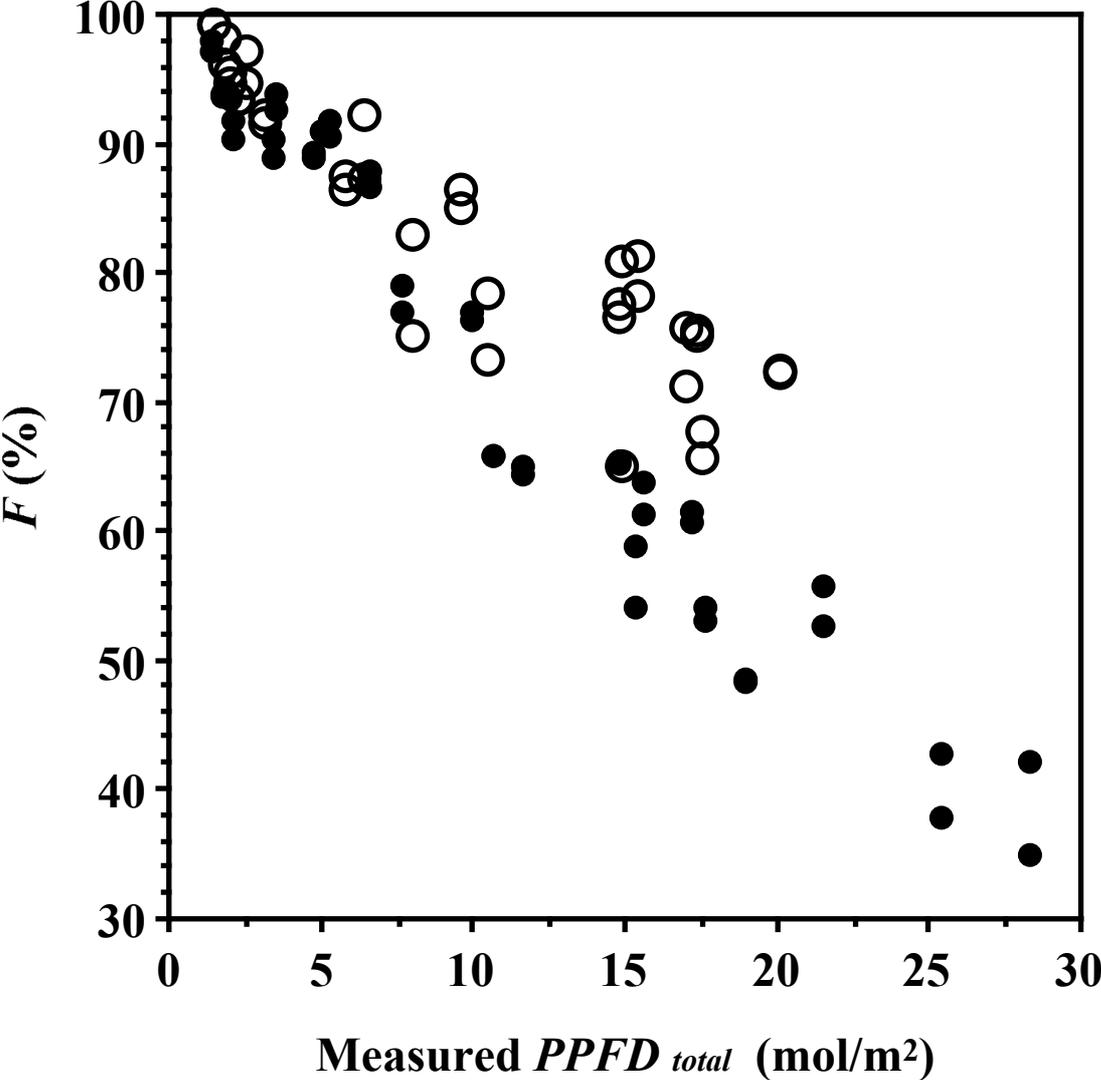


Figure 2

